

11/07/01
U.S. PTO

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12-10-01 PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

| | |
|------------------------|--|
| Attorney Docket No. | SN - 116 |
| First Inventor | Patrick Leempoel |
| Title | Process for the Manufacture of Organopolysiloxane compositions |
| Express Mail Label No. | ET394961507US |

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages 35]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
- Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
5. Oath or Declaration [Total Pages 4]
- a. Newly executed (original or copy)
- Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. CD-ROM or CD-R (2 copies); or
- ii. paper
- c. Statements verifying identity of above copies

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement
(when there is an assignee) Power of
Attorney
11. English Translation Document (if applicable)
12. Information Disclosure
Statement (IDS)/PTO-1449 9 Copies of IDS
Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information: Examiner _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | | | |
|--|--|-----------|--|
| <input type="checkbox"/> Customer Number or Bar Code Label | <i>(Insert Customer No. or Attach Bar code label here)</i> | | <input type="checkbox"/> or <input checked="" type="checkbox"/> Correspondence address below |
| Name | Robert L. McKellar | | |
| Address | 816 West Wackerly St. Suite #2 | | |
| City | Midland | State | Michigan |
| Country | USA | Telephone | (989) 631-4551 |
| | | Zip Code | 48640-2730 |
| | | Fax | (989) 8328990 |

| | | | |
|-----------|---------------------------|---------------------|----------|
| Name | Robert L. McKellar | Registration Number | 26,002 |
| Signature | <i>Robert L. McKellar</i> | | Date |
| | | | 11/07/01 |

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FEE TRANSMITTAL

for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 740.00)

Complete if Known

| | |
|----------------------|------------------|
| Application Number | |
| Filing Date | |
| First Named Inventor | Patrick Leempoel |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | SN - 116 |

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **13-2492**
Deposit Account Name **Robert L. McKellar**

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------------|--------------|--------------------|---------------|------------------------|---------------|
| 101 | 710 | 201 | 355 | Utility filing fee | 740.00 |
| 108 | 320 | 206 | 180 | Design filing fee | |
| 107 | 490 | 207 | 245 | Plant filing fee | |
| 108 | 710 | 208 | 355 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |
| SUBTOTAL (1) | | (\$ 740.00) | | | |

2. EXTRA CLAIM FEES

| | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|------------------|----------|
| Total Claims | 17 | -20** = 0 | 0 |
| Independent Claims | 1 | -3** = 0 | 0 |
| Multiple Dependent | | | 0 |

| Large Entity | Small Entity | Fee Description |
|---------------------|--------------|--|
| 103 | 18 | 203 |
| 102 | 80 | 202 |
| 104 | 270 | 204 |
| 109 | 80 | 209 |
| 110 | 18 | 210 |
| | | 9 |
| | | Claims in excess of 20 |
| | | Independent claims in excess of 3 |
| | | Multiple dependent claim, if not paid |
| | | ** Reissue independent claims over original patent |
| | | ** Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | (\$ -0-) |

*Or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------------------|--------------|---------------|---------------|--|----------|
| 105 | 130 | 205 | 65 | Surcharge - late filing fee or oath | |
| 127 | 50 | 227 | 25 | Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 | 130 | Non-English specification | |
| 147 | 2,520 | 147 | 2,520 | For filing a request for ex parte reexamination | |
| 112 | 920* | 112 | 920* | Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 | 1,840* | Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 | 55 | Extension for reply within first month | |
| 116 | 390 | 216 | 195 | Extension for reply within second month | |
| 117 | 890 | 217 | 445 | Extension for reply within third month | |
| 118 | 1,390 | 218 | 695 | Extension for reply within fourth month | |
| 128 | 1,890 | 228 | 945 | Extension for reply within fifth month | |
| 119 | 310 | 219 | 155 | Notice of Appeal | |
| 120 | 310 | 220 | 155 | Filing a brief in support of an appeal | |
| 121 | 270 | 221 | 135 | Request for oral hearing | |
| 138 | 1,510 | 138 | 1,510 | Petition to institute a public use proceeding | |
| 140 | 110 | 240 | 55 | Petition to revive - unavoidable | |
| 141 | 1,240 | 241 | 620 | Petition to revive - unintentional | |
| 142 | 1,240 | 242 | 620 | Utility issue fee (or reissue) | |
| 143 | 440 | 243 | 220 | Design issue fee | |
| 144 | 600 | 244 | 300 | Plant issue fee | |
| 122 | 130 | 122 | 130 | Petitions to the Commissioner | |
| 123 | 50 | 123 | 50 | Processing fee under 37 CFR 1.17(q) | |
| 126 | 180 | 126 | 180 | Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 | 40 | Recording each patent assignment per property (times number of properties) | |
| 146 | 710 | 246 | 355 | Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 149 | 710 | 249 | 355 | For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 179 | 710 | 279 | 355 | Request for Continued Examination (RCE) | |
| 169 | 900 | 169 | 900 | Request for expedited examination of a design application | |
| Other fee (specify) _____ | | | | | |

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ -0-)

SUBMITTED BY:

NAME (Printed/typed)

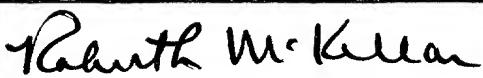
Robert L. McKellar

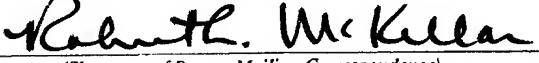
Registration 26,002

Number

Telephone Number (517) 631-4551

Date November 7, 2001



| | | | |
|---|--|----------|-------------------------------|
| CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Applicant(s): Patrick Leempoel | | | Docket No. SN - 116 |
| Serial No | Filing Date November 7, 2001 | Examiner | Group Art Unit |
| Invention: PROCESS FOR THE MANUFACTURE OF ORGANOPOLYSILOXANE COMPOSITIONS | | | |
| <p>I hereby certify that the following correspondence:</p> <p>Specification of 28 pages, claims of 6 pages, 1 page of abstract, two pages of drawings containing 2 Figures, Declaration and Power of Attorney, Assignment, Assignment cover sheet, return postcard, Authorization to pay the Issue fee from Deposit Charge Account 13-2492 of \$740.00, Fee Transmittal Sheet, 9 copies of* <small>(Identify type of correspondence)</small></p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on November 7, 2001</p> <p style="text-align: center;"><small>(Date)</small></p> <p style="text-align: center;">Robert L. McKellar</p> <hr/> <p style="text-align: center;"><small>(Typed or Printed Name of Person Mailing Correspondence)</small></p> <p style="text-align: center;"> <small>(Signature of Person Mailing Correspondence)</small></p> <hr/> <p style="text-align: center;">ET394961507US</p> <hr/> <p style="text-align: center;"><small>("Express Mail" Mailing Label Number)</small></p> <p>*citations, New Application Transmittal sheet, Disclosure letter under Rule 56.</p> <p style="text-align: center;"><small>100%</small></p> <p style="text-align: center;"><small>Note: Each paper must have its own certificate of mailing.</small></p> | | | |